



# North Carolina Department of Public Safety

*Prevent. Protect. Prepare.*

Roy Cooper, Governor

Erik A. Hooks, Secretary

## MEMORANDUM

**TO:** Chairs of Senate Appropriations Committee on Justice and Public Safety  
Chairs of House Appropriations Subcommittee on Justice and Public Safety  
Chairs of the Joint Legislative Oversight Committee on Justice and Public Safety

**FROM:** Erik A. Hooks, Secretary *EAH*  
Casandra Hoekstra, Chief Deputy Secretary *SAH*

**RE:** Lapsed Salary Report

**DATE:** August 1, 2017

*Pursuant to Session Law 2017-57, SECTION 16.3. The Department of Public Safety shall report on February 1 and August 1 of each year to the chairs of the Joint Legislative Oversight Committee on Justice and Public Safety and the chairs of the House of Representatives Appropriations Committee on Justice and Public Safety and the Senate Appropriations Committee on Justice and Public Safety. The report shall include the following:*

*(1) Amount of lapsed salary generated by fund code for the previous six months.*

*(2) An itemized accounting of the use of lapsed salary funds including:*

*a. Fund code.*

*b. Current certified budget.*

*c. Annual projected expenditure.*

*d. Annual projected shortfall.*

*e. Amount of lapsed salary funds transferred to date.*

*The August 1 report shall include an annual accounting of this information for the previous fiscal year.*

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DEPARTMENT OF PUBLIC SAFETY  
BUDGET MANAGEMENT AND ANALYSIS  
ESTIMATED CURRENT LAPSED SALARY REQUIREMENTS

## STATE FISCAL YEAR 2016-2017 LAPSED SALARY REPORT

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>Adult Correction</b>						
531311	Contractual Employees	377,096	134,000	243,096	21,296	
531311	Medical Contractual Employees	2,323,059	680,000	1,643,059	-	
531411	Overtime-Custody	9,247,224	35,000,000	(25,752,776)	25,862,924	
531411	Overtime	2,036,974	7,600,000	(5,563,026)	4,131,006	
531421	Holiday Pay	6,571,513	7,320,000	(748,487)	685,422	
531431	Shift Premium Pay	28,286,041	29,000,000	(713,959)	610,273	
531441	Callback/Standby Pay	1,578,327	1,750,000	(171,673)	11,291	
531461	Longevity Pay	7,886,773	8,765,000	(878,227)	245,895	
531471	Bonus	10,134,861	10,135,041	(180)	183	
531551	Pension Spiking	-	71,621	(71,621)	71,621	
531572	Unemployment Compensation	-	8,223	(8,223)	8,223	
531576	Administrative Fees To OSC - Flex	-	571,836	(571,836)	628,550	
531627	Disability	2,227,994	1,785,287	442,707	157,850	
53163X	Workers Comp	23,979,269	30,300,000	(6,320,731)	5,771,645	
532170	TPA fees for Workers comp	-	565,000	(565,000)	620,794	
532170002	Temporaries	94,857	7,581,545	(7,486,688)	5,782,943	
532132109	Temporaries - Nursing Agencies	-	16,500,000	(16,500,000)	16,615,163	
532131	Hospital Services	35,344,082	56,750,000	(21,405,918)	22,203,221	
532132	Other Medical Providers	21,294,638	36,000,000	(14,705,362)	14,932,460	
532140	IT Contracts	-	157,317	(157,317)	157,317	
5321XX	Miscellaneous Contract	51,836,579	39,336,579	12,500,000	2,813,870	
532189	Electronic Monitoring	6,324,957	5,355,351	969,606	-	
532199	Jail Backlog	-	10,000	(10,000)	10,000	
5322XX	Utilities	45,377,771	45,787,155	(409,384)	18,796	
5324XX	Maintenance Agreement	2,440,238	2,479,824	(39,586)	39,586	
532XXX	Computer/Data Processing & Data Charges (TIS)	11,060,705	13,500,000	(2,439,295)	4,398,553	
532919	Medical Liability Insurance	138,815	391,261	(252,446)	-	
533XXX	Inmate Clothing & Bedding	10,607,248	15,000,000	(4,392,752)	648,925	
533610	Prescription Drugs & Pharmacy supp	32,524,768	68,000,000	(35,475,232)	24,426,631	
533690	Medical Supplies	3,757,424	5,511,422	(1,753,998)	1,753,998	
53XXXX	Parole Commission under funded items	2,582,533	2,592,213	(9,680)	9,680	
53XXXX	Training underfunded items (OSDI)	7,070,451	7,131,914	(61,463)	61,463	
53214B	IT Subscription Fee	-	250,000	(250,000)	148,658	
	State Matched Fed Grants	-	262,561	(262,561)	262,561	
	<b>Adult Correction</b>	<b>325,104,197</b>	<b>456,283,150</b>	<b>(131,178,953)</b>	<b>133,110,798</b>	<b>133,120,599.26</b>

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/ Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>Highway Patrol</b>						
531411	Overtime	276,245	295,000	(18,755)	44,425	
531421	Holiday Pay	1,113,026	1,275,000	(161,974)	217,368	
531431	Shift Premium Pay	2,229,595	2,750,000	(520,405)	705,443	
531461	Longevity	2,147,651	2,147,651	-	60,381	
531531	LEO Retirement	20,580,929	20,700,929	(120,000)	274,905	
531551	Pension Spiking	-	-	(82,757)	82,757	
531572	Unemployment Compensation	5,304	6,697	(1,393)	1,393	
531576	Administrative Fees To OSC - Flex	-	82,000	(82,000)	81,786	
53163X	Workers Comp	1,078,222	1,875,000	(796,778)	1,017,885	
531661	Employee reimbursement-taxable & moving exp	-	20,000	(20,000)	21,350	
532110	Legal services	4,000	50,000	(46,000)	31,527	
532170	TPA fees for Workers comp	-	53,000	(53,000)	49,145	
532170002	Temporaries	22,000	310,000	(288,000)	288,975	
5321XX	Contractual services agreements	245,613	345,613	(100,000)	124,856	
53XXXX	Shortfall of Governor's security team	158,368	300,000	(141,632)	72,943	
53214B	IT Subscription Fee	-	100,000	(75,000)	121,168	
53214X	IT Contracts	-	220,000	(220,000)	289,049	
5322XX	Utilities	477,136	675,000	(197,864)	129,281	
5323XX	Repairs- Flood damage	-	17,000	(17,000)	16,248	
5324XX	Maintenance Agreements	212,323	1,013,466	(801,143)	856,057	
532XXX	Governor's Inauguration costs	-	-	(17,267)	17,267	
5328xx	Communications & Data Processing	743,861	1,540,000	(796,139)	1,169,397	
5329XX	Insurance	1,216,365	1,500,000	(283,635)	43,121	
5351XX	Settlements & Tort Claims	1,000	2,200,000	(2,199,000)	2,193,349	
5352xx	Pensions & Benefits Claim Payments	6,782,358	9,238,500	(2,456,142)	2,355,647	
53xxxx	equipment & supplies (includes basic school need: unfunded Department Wide needs	-	-	(2,000,000)	1,831,105	
532110	Governor's legal fees	-	400,000	(400,000)	476,058	
	<b>Highway Patrol</b>	<b>37,293,996</b>	<b>46,714,856</b>	<b>(11,895,884)</b>	<b>12,572,886</b>	<b>15,109,509.95</b>

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>SB/ALF</b>						
531321	Contractual employees		78,000	(78,000)	90,340	
531411	Overtime	16,021	515,000	(498,979)	437,041	
531421	Holiday Pay	3,515	15,300	(11,785)	10,387	
531431	Shift Premium Pay	76,791	105,000	(28,209)	43,490	
531441	Callback	-	31,500	(31,500)	23,814	
531461	Longevity	432,408	453,000	(20,592)	23,271	
531471	Bonus	330,164	330,639	(475)	475	
531511	Underfunded Social Security	2,061,567	2,141,508	(79,941)	88,733	
531521	Underfunded Retirement	1,242,902	1,258,424	(15,522)	15,522	
531576	Administrative Fees To OSC - Flex	-	34,000	(34,000)	30,114	
53163X	Workers Comp	54,579	200,000	(145,421)	151,778	
531661	Employee Uniform Allowance	25,002	197,400	(172,398)	183,000	
532110	Legal services	276	16,000	(15,724)	15,831	
532170	Temporaries	2,072	500,000	(497,928)	451,718	
53214X	IT Contracts	145,884	200,000	(54,116)	5,349	
53214B	IT Subscription Fee	-	43,444	(43,444)	25,679	
5321xx	Purchased Contractual Services	219,846	369,000	(149,154)	73,933	
5328XX	IT Charges	39,979	110,000	(70,021)	65,145	
533XXX-534XXX	Supplies & equip for training	-	600,000	(600,000)	590,351	
533310	Gasoline	1,203,048	1,215,000	(11,952)	11,972	
5351XX	Settlements & Tort Claims	470	5,000	(4,530)	1,000	
534535	Cloud Storage-Server	-	338,500	(338,500)	338,500	
534541	vehicles	2,064,373	2,290,467	(226,094)	226,094	
5352xx	Pensions & Benefits Claim Payments	2,048,486	2,227,000	(178,514)	158,894	
	ALF's Boxing/ Bingo		135,000	(135,000)	189,516	
		<b>9,967,383</b>	<b>13,331,182</b>	<b>(3,441,799)</b>	<b>3,251,947</b>	<b>3,335,271.81</b>



ACCOUNT

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>State Capital Police</b>						
531411	Overtime	-	5,550	(5,550)	5,104	
531421	Holiday Pay	-	6,000	(6,000)	6,158	
531431	Shift Premium Pay	-	26,025	(26,025)	23,907	
531461	Longevity	261	19,083	(18,822)	14,821	
531521	Unfunded retirement for OT etc	199,159	210,000	(10,841)	15,951	
53163X	Workers Comp	51,189	195,000	(143,811)	61,506	
531576	Administrative Fees To OSC - Flex	-	5,000	(5,000)	1,279	
5352xx	Pensions & Benefits Claim Payments	72,967	116,500	(43,533)	26,507	
532170	Temporaries	229	48,400	(48,171)	17,473	
5328XX	IT charges	-	8,300	(8,300)	1,722	
532913	Liability Insurance	17	5,509	(5,492)	5,492	
		<b>323,822</b>	<b>645,367</b>	<b>(321,545)</b>	<b>179,920</b>	<b>107,294.93</b>
<b>Administration/GCC/Victims' Services/Safe Schools</b>						
531311	Contracts	-	35,000	(35,000)	49,569	
531411	Overtime	68,077	215,000	(146,923)	160,117	
531421	Holiday Pay	2,368	6,150	(3,782)	4,608	
531441	Callback	48,400	45,000	3,400	-	
531461	Longevity	562,391	650,000	(87,609)	156,147	
531471	Bonus	494,102	494,111	(9)	9	
531141	Leave pay out for prior Secretary	-	516	(516)	516	
531XX	GCC staff to support state grants	-	7,000	(7,000)	5,064	
531531	LFO Retirement-Secretary Hooks	-	12,390	(12,390)	13,681	
531575	Employee Assis Prog	120,000	185,000	(65,000)	83,063	
531576	Administrative Fees To OSC - Flex	-	43,000	(43,000)	43,155	
531627	Disability	31,296	42,500	(11,204)	26,891	
53163X	Workers' Comp	129,525	191,500	(61,975)	166,770	
531651	Compensation to Board Members	-	450	(450)	780	
532110	Legal Services	103,987	110,000	(6,013)	13,083	
5321xx	Contractural Services	585,455	615,000	(29,545)	30,743	
53214X	IT Contracts	13,364	650,000	(636,636)	180,776	
532170002	Temp Agency	6,168	2,750,000	(2,743,832)	2,265,902	
5324XX	Maintenance agreements	414,901	1,000,000	(585,099)	73,130	
532840	Postage	189,653	312,000	(122,347)	24,982	
532911	Property Insurance	-	16,000	(16,000)	15,582	
532919	Employee Liability Insurance	75,499	128,987	(53,488)	53,488	
5328xx	Communications & Data Processing	1,159,057	2,400,000	(1,240,943)	1,111,959	
5351XX	Settlements & Tort Claims	-	10,000	(10,000)	4,770	
53214B	IT Subscription Fee	-	200,000	(200,000)	235,415	
534XXX	IT Equipment	371,941	400,000	(28,059)	9,389	
533XX&534XX	Unfunded Samarcaud Start-up Equipment	-	200,000	(200,000)	200,000	
535111	Settlements & Tort Claims	-	120,000	(120,000)	119,599	
	<b>Administration</b>	<b>4,376,184</b>	<b>10,839,604</b>	<b>(6,463,420)</b>	<b>5,049,188</b>	<b>3,500,011.90</b>

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>Juvenile Justice</b>						
531311	Contractual Employees	2,567	75,000	(72,433)	70,380	
531411	Overtime	606,653	2,369,000	(1,762,347)	1,732,524	
531421	Holiday Pay	111,625	300,000	(188,375)	142,653	
531431	Shift Premium Pay	431,056	755,691	(324,635)	377,389	
531441	Callback	3,043	534,000	(539,957)	500,537	
531461	Longevity	609,795	762,500	(152,705)	153,254	
531576	Administrative Fees To OSC - Flex	-	63,314	(63,314)	65,041	
531627	Disability	41,605	94,000	(52,395)	57,085	
53163X	Workers Comp	1,622,336	2,340,000	(717,664)	1,060,584	
5321XX	Contractual Services	387,616	450,000	(62,384)	90,108	
53214X	IT Contracts	50,982	110,000	(59,018)	53,248	
53214B	IT Subscription	-	75,000	(75,000)	64,425	
532170	Temporaries	2,728	650,000	(647,272)	570,527	
532521	Rent/Lease Motor Vehicles Court Counselors & C	1,199,890	1,400,000	(200,110)	250,677	
532189	Electronic Monitoring	117,000	325,000	(208,000)	225,982	
532199	Detention Payments to County facilities	2,142,968	3,288,791	(1,145,823)	1,164,519	
532199XXX	Group Homes & Dispositional Alternatives	18,393,194	17,093,194	1,300,000	19,723	
5328XX	ITIS Charges	703,430	1,000,000	(296,570)	961,879	
	State Matched Fed Grants	-	350,000	(350,000)	237,051	
	<b>Juvenile Justice</b>	<b>26,426,488</b>	<b>32,044,490</b>	<b>(5,618,002)</b>	<b>7,797,586</b>	<b>7,803,474.84</b>
<b>Emergency Management</b>						
531411	Overtime	-	55,000	(55,000)	45,016	
531421	Holiday Pay	1,169	3,250	(2,081)	1,380	
531431	Shift Premium Pay	1,375	2,750	(1,375)	1,275	
531461	Longevity	40,059	50,000	(9,941)	4,923	
531561	Health Insurance	262,667	262,741	(74)	74	
531576	Administrative Fees To OSC - Flex	-	2,000	(2,000)	1,976	
53163X	Workers Comp	74,771	125,000	(50,229)	10,908	
5321XX	Contracts	-	160,000	(160,000)	158,854	
5328XX	IT Charges	46,558	49,000	(2,442)	1,466	
	<b>Emergency Management</b>	<b>426,599</b>	<b>709,741</b>	<b>(283,142)</b>	<b>225,872</b>	<b>293,113.68</b>

ACCOUNT	DESCRIPTION	Certified Budget	Annual Projected Requirement	Projected Annual (Shortfall)/Surplus	YTD Use of Lapsed Salaries	Lapsed Salary Generated by Division
<b>National Guard</b>						
531311	Temporaries	198,220	207,000	(8,780)	1,691	
531411	Overtime	-	30,000	(30,000)	29,606	
531421	Holiday Pay	-	5,000	(5,000)	4,434	
531431	Shift Premium Pay	-	10,000	(10,000)	9,692	
531441	Callback	-	5,000	(5,000)	4,426	
531461	Longevity	19,075	27,000	(7,925)	3,312	
531576	Administrative Fees To OSC - Flex	-	2,000	(2,000)	1,590	
5315XX	Unfunded State Active Duty	-	90,000	(90,000)	86,132	
53163X	Workers Comp	28,173	150,000	(121,827)	122,282	
532170	Temporaries	204,687	330,000	(125,313)	126,471	
5321XX	Contractual services	636,298	675,000	(38,702)	25,252	
53214B	IT Subscription	-	-	(500)	48	
535840	TAG Awards and Medals allowed per G.S.	-	24,777	(24,777)	24,777	
	<b>Total National Guard</b>	<b>1,086,453</b>	<b>1,555,777</b>	<b>(469,824)</b>	<b>439,713</b>	<b>373,304.79</b>
<b>Total Department of Public Safety</b>		<b>405,005,122.00</b>	<b>562,124,167.00</b>	<b>(159,672,569.00)</b>	<b>162,627,910.00</b>	<b>163,642,581.16</b>
Department Wide Excess Lapsed Salary						1,014,671.16